Encounter Data Submission and Processing Report Resource Guides

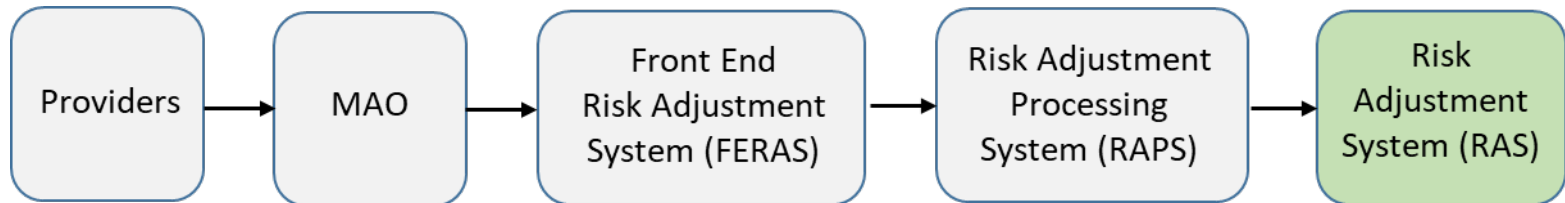## MAO-004 – Encounter Data Diagnosis Eligible for Risk Adjustment

Report Description

The MAO-004 Encounter Data Diagnosis Eligible for Risk Adjustment Report, a 500-byte flat file, informs MAOs and other entities of the risk adjustment eligibility of diagnosis data submitted on accepted Encounter Data Records (EDRs) and Chart Review Records (CRRs).

The MAO-004 reports are produced on a monthly basis from data submitted by contracts to CMS in the immediately preceding month. For example, the MAO-004 reports sent to MAOs and other entities in August 2019 were based on EDRs and CRRs submitted to and accepted by the CMS' Encounter Data System (EDS) in July 2019. This report is distributed to MAOs and other entities by Contract Identification Number on a monthly basis via MARx.

This report is available in a flat file format.

Report Generation

Providers → MAO → Front End Risk Adjustment System (FERAS) → Risk Adjustment Processing System (RAPS) → Risk Adjustment System (RAS)

- **MAO-004 Report**
- Model Output Report and Data file (MOR PDF/Data File)

MAO-004 – Encounter Data Diagnosis Eligible for Risk Adjustment

Report Layout

The MAO-004 Report Header, Detail and Trailer Layout is available in the Plan Communication User Guide (Section 7.4) at the following link:

https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/mapdhelpdesk/Plan_Communications_User_Guide

Accessing the Report

Data Transfer Protocol/Connecting Directly to CMS	File Names of Report
EFT Mailbox (Supported by the MAPD Help Desk: (mapdhelp@cms.hhs.gov))	Gentran Mailbox/TIBCO MFT Internet Server P.Rxxxxx.MAO004PV.Dyymmdd.Thhmmsst Connect:Direct (Mainframe) zzzzzzzz.Rxxxxx.MAO004PV.Dyymmdd.Thhmmsst Connect:Direct (Non-Mainframe) [directory]Rxxxxx.MAO004PV.Dyymmdd.Thhmmsst
MARx UI	P#MMA.@BDG5050.PLNxxxxx.Ryyyymm.Ddd.MAO004PV

Where

- xxxxx is the contract number
- zzzzzzzz is the plan sponsor - provided high level qualifier
- P = Phase: The Phase can be 1 to 9 or A to Z ('3' for this Phase)
- V= Version: The version can be 1 to 9 or A to Z ('3' for this Version)

- yy is the two - digit year when the file was sent
- yyyy is the four - digit year when the file was sent
- mm is the two - digit month when the file was sent
- dd is the two - digit day when the file was sent

MAO-004 – Encounter Data Diagnosis Eligible for Risk Adjustment

Accessing and Downloading Archived MAO-004 Reports from MARx UI

To access and download archived MAO-004 Reports through the MARx UI:
- Go to the "Reports" menu
- Select "Monthly" frequency
- Select "Start Month/Year"
- Select "End Month/Year"
- On the "Report/Data File" dropdown select "Risk Adjustment Eligible Diagnosis Report"
- Add your "Contract ID"
- Select "Find." The archived reports will populate and become available for download 10 - 15 mins after the user logs out and back into the UI.

Accessing MAO-004 Reports for Inactive Contracts

MAOs and other entities that sponsored inactive contracts will receive reports if the inactive contract:
1. Submitted accepted encounter data records on or after January 1, 2014 for dates of service on or after January 1, 2014, and
2. The MAO or other entity that sponsored the currently inactive contract has an active EFT connection to CMS.

NOTE: MAOs and other entities with de-activated MARx system access for these contracts will **_not_** be able to access and retrieve these reports on the MARx UI.

MAO-004 – Encounter Data Diagnosis Eligible for Risk Adjustment

Report Resources
April 2018 User Group Webinar - Report description