
Risk Adjustment for EDS & RAPS Webinar Q&A Documentation

February 19, 2015
2:00 p.m. – 4:00 p.m.

EDPS Reports

Q1. When will CMS release the MAO-004 Encounter Data Diagnoses Eligible for Risk Adjustment Report?

A1. The MAO-004 Encounter Data Diagnoses Eligible for Risk Adjustment Report is currently under development. CMS will provide updates as additional information is determined.

Q2. Will the MAO-004 Encounter Data Diagnoses Eligible for Risk Adjustment Report contain a cumulative list of all diagnoses used for risk adjustment; or will the diagnosis reporting be incremental?

A2. CMS continues to evaluate the requirements for the development of the MAO-004 Encounter Data Diagnoses Eligible for Risk Adjustment Report, and will provide updates when available.

Q3. Will the MAO-004 Encounter Data Diagnoses Eligible for Risk Adjustment Report be updated based on industry feedback?

A3. The MAO-004 Encounter Data Diagnoses Eligible for Risk Adjustment Report is currently under development and we are considering industry feedback as part of this process.

Q4. When should MAOs and other entities expect to receive the MAO-002 Encounter Data Processing Status Reports?

A4. The EDPS Processing Status Reports (MAO-001 and MAO-002) are distributed within seven (7) business days after encounter data files are fully accepted through the Encounter Data System (EDS).

Q5. Are MAOs and other entities required to use the MAO-001 Encounter Data Duplicates Report to reconcile encounter duplicate errors?

A5. No, MAOs and other entities are not required to use the MAO-001 Report. The use of this report is optional and provides an extract from the MAO-002 Encounter Data Processing Status Report to list only the duplicate errors received on encounter files.

Similar questions are not listed separately, but combined and published as one question with one answer.

Q6. Slide 49 of the February 19, 2015 Risk Adjustment for EDS & RAPS Webinar indicates that the most common EDS error is duplicate submission. What does CMS recommend as a best practice to avoid receiving duplicate errors; or would CMS consider relaxing these edits?

A6. MAOs should wait for receipt of MAO-002 reports to properly reconcile encounter data files. MAOs should NOT include previously submitted encounter data records (EDRs) in batched encounters. MAOs should only submit adjudicated encounters with changes in data from the original encounter data submission. CMS will not relax or deactivate edits for duplicate encounter submission.

Industry Communications

Q7. How will CMS notify MAOs and other entities of EDS updates that may impact internal claims processing?

A7. CMS releases the EDS Companion Guides and Risk Adjustment for EDS & RAPS Newsletters on a quarterly schedule to notify MAOs and other entities of CMS EDS changes that have been implemented, or are scheduled for implementation. CMS also notifies MAOs and other entities of updates via the CSSC Operations listserv.

Q8. Has CMS distributed an announcement regarding Network Data Mover (NDM) updates and version compatibility issues?

A8. No, CMS has not published communication regarding NDM updates and/or submitters' version compatibility issues. CMS will provide industry communications through the CSSC Operations listserv, if needed.

Chart Review Encounters

Q9. Has CMS identified an industry-wide issue regarding submission of linked chart review encounters?

A9. No, CMS has not noted a global issue regarding submission of linked chart review encounters.

National Provider Identifier (NPI)

Q10. Is the physician's National Provider Identifier (NPI) number mandatory for EDS submissions?

A10. The billing NPI is required for EDS submission. Other provider NPIs are situational and should be submitted if required for the encounter type.

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ICD-9 / ICD-10

Q11. Will there be a test window for the ICD-10 transition and will there be any impact to EDS processing as a result of the ICD-10 transition?

A11. CMS will conduct closed RAPS validation testing with select MAOs mid-June 2015. CMS will conduct closed EDS validation testing with select MAOs also in the month of June 2015.

EDS / RAPS Parallel Processing

Q12. When will CMS run the EDS and the Risk Adjustment Processing System (RAPS) in parallel?

A12. MAOs and other entities must submit data to both the EDS and RAPS until CMS fully transitions from RAPS to EDS. CMS will notify the industry of the transition in advance to allow MAOs and other entities time to prepare for the transition.

RAPS Submissions Format / Deadline

Q13. Should MAOs use the current RAPS format for all RAPS submissions?

A13. Yes, the current RAPS format is the only acceptable format for submissions to the RAPS.

RAPS Overpayment

Q14. Did the February 19, 2015 Risk Adjustment for EDS and RAPS Webinar address RAPS Overpayment Guidance?

A14. Overpayment guidance was not addressed during the February 19, 2015 Risk Adjustment for EDS & RAPS Webinar. Please refer to the February 18, 2015 Health Plan Management System (HPMS) memo "Guidance for Reporting and Returning Medicare Advantage Organization and/or Sponsor Identified Overpayments to the Centers for Medicare & Medicaid Services" for Overpayment guidance.

Payment Year (PY) 2014 Final Model Run

Q15. When did CMS perform the PY 2014 Final Model Run, which included 2013 dates of service? Which payment month will include the adjustments from this run?

A15. All submissions accepted through January 31, 2015 were included in the PY 2014 Final Risk Score Model Run. Please refer to the HPMS Memo "Revised Deadline for Submitting RAPS Data for Use in Final Risk Score Calculation Run for Payment Year 2014" for further information.

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MOR / MMR Reports

Q16. Does CMS produce a Monthly Output Report (MOR) with the Hierarchical Condition Categories (HCCs)/ Prescription Drug HCCs (RxHCCs) that affect a payment rerun risk adjustment?

A16. Yes, CMS produces an MOR when risk scores are rerun. CMS distributes the rerun MORs during the month the rerun scores are put into payment.

Q17. Will CMS reissue the Monthly Membership Reports (MMRs) for January 2015 and February 2015 since these reports contained various issues?

A17. No, CMS will not reissue MMRs for January 2015 and February, 2015. Please refer to the January 26, 2015 HPMS notice "Medicare Advantage Prescription Drug System (MARx) February 2015 Payment - INFORMATION" for details.

Duplicate Cluster Threshold

Q18. How are MAOs informed if they exceed the duplicate cluster threshold?

A18. MAOs receive a report monthly if they exceed the duplicate cluster threshold of five (5) percent.

Risk Adjustment Data Validation

Q19. Once encounter data submissions are included in risk score calculation, how will this additional data be accounted for during Risk Adjustment Data Validation?

A19. Plans should submit questions related to Risk Adjustment Data Validation to radv@cms.hhs.gov.

RAPS Error Codes

Q20. When is the RAPS error code 418 used?

A20. Error code 418 is used when the service year for which diagnoses are being submitted is closed. (NOTE: The error code text reads "SERVICE YEAR IS CLOSED FOR DIAGNOSIS SUBMISSIONS".) Please monitor HPMS for guidance on future payment years.

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