Encounter Data System User Group

June 27, 2013

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Agenda

- Purpose
- CMS Updates
- EDPS Deactivated Edits
- EDS Operational Highlights
- MAO Reports Layouts
- Encounter Adjustment After Linked Chart Review
- Chart Review Deletion
- Resources Access
- Encounter Data 101 Update
- EDS Implementation Next Steps
- Questions & Answers
- Resources
- Closing Remarks

- To provide Medicare Advantage Organizations (MAOs) and other entities with guidance for testing and submitting production data to the Encounter Data System (EDS).

MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
  - Medicare Advantage (MA) Plans
  - Medicare Advantage-Prescription Drug (MA-PD) Plans
  - Health Maintenance Organizations (HMOs)
  - Special Needs Plans (SNPs)
  - Local Preferred Provider Organizations (PPOs)
  - Regional PPOs
  - Employer Group Health Plans
  - Programs of All-Inclusive Care for the Elderly (PACE) Plans
  - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
  - Medical Savings Account (MSA) Plans
  - Private Fee-for-Service (PFFS) Plans
  - Religious Fraternal Benefit (RFB) Plans
  - Provider Sponsored Organizations (PSOs)

Session Guidelines

- This is a one (1) hour Encounter Data User Group session.

- If time allows, the Encounter Data team will respond to questions at the end of the presentation.

CMS Updates

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MAO-002 Reports Update

- The EDPS is currently processing MAO-002 Reports for data received on May 21, 2013.

- If you have not received your MAO-002 Reports for files submitted prior to May 21, 2013, please contact CSSC Operations at (877) 534-2772, with your file information, for assistance.

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Submission Frequency

- CMS will use the February 2013 Health Plan Management System (HPMS) contract enrollment data to determine submission frequency requirements for each MAO or other entity.

- It is recommended that MAOs and other entities adhere to the submission frequency based on the February 2013 HPMS enrollment data.

Number of Medicare Enrollees	Minimum Submission Frequency
Greater than 100,000	Weekly
50,000 – 100,000	Bi-weekly
Less than 50,000	Monthly

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EDPS Deactivated Edits

EDPS Deactivated Edits

- The following two (2) Professional DME edits will be deactivated in the July 2013 EDPS Maintenance Release:

Edit #	Edit Description	Resolution Date
22385	DME HCPCS and Statement of Date Conflict	7/10/2013
30055	Duplicate in Encounter – Same Supplier	7/10/2013

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EDS Operational Highlights

- Effective for Home Health episodes beginning on or after October 1, 2013, CMS will no longer accept Institutional encounters submitted with TOB 33X – Home Health Services Under a Plan of Treatment.

- MAOs and other entities that submit TOB 33X for Institutional encounters will receive the following CEM reject edit on their returned 277CA Acknowledgement Reports:

Edit Reference	Edit Description	Edit Notes
X223.150.2300.DTP03.030	CSCC A7: "Acknowledgement /Rejected for Invalid Information..." CSC 228: "Type of bill for UB claim"	Proposed 5010 Edit: If the 2300.DTP03 (DTP01 = "434") "FROM" date is on or after October 1, 2013, 2300.CLM05-1 must not = "33"

For more information visit the CMS website at:

http://www.cms.gov/Regulations-and-Guidance/Guidance/Transmittals/downloads/R2694CP.pdf

- In an effort to collect complete 2012 data, CMS has determined that no edits related to the 13-month timely filing rule will be applied at this time.

- MAOs and other entities should continue to monitor EDPS Bulletins and EDS Quarterly Newsletters, and attend bi-weekly EDS User Group sessions for information regarding updates to this decision.

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Highlight #3
HIPPS Code Edits

- MAOS and other entities must begin submitting Health Insurance Prospective Payment System (HIPPS) data for all Skilled Nursing Facility (SNF) and Home Health (HH) encounters effective for July 1, 2013 Dates of Service (DOS) and beyond.

- HH encounters must be submitted using the 837-Institutional format.

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- Beginning with July 1, 2013 DOS, MAOs and other entities will receive an informational edit for SNF and HH encounters submitted without HIPPS codes.

- MAOs and other entities will also receive an informational edit for Home Health encounters submitted in the 837-Professional format instead of the required 837-Institutional format.

- Effective as of December 1, 2013 DOS, the disposition for these edits will be changed from 'Informational' to 'Reject' for any SNF and HH encounters submitted without the appropriate HIPPS codes or that are submitted in the 837-Professional format.

- MAOs and other entities are expected to communicate the HIPPS code requirements with SNF and HH providers so that they are able to make any changes to their systems, etc.

Highlight #4
999 Report Naming Conventions

- Due to a technical issue, the naming convention was changed for the 999 Acknowledgement Reports that are submitted to File Transfer Protocol (FTP) users' mailboxes.

- Effective immediately, the new naming convention is as follows:

Report Type	FTP Mailbox - Old	FTP Mailbox - New
999	999xxxxx.RSP	999####.999.999

Questions pertaining to the new naming convention should be submitted to CSSC Operations at:

1-877-534-2772 or CSSCOperations@palmettogba.com

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- If there are not enough Generated Data Groups (GDGs) established on the system for the Network Data Mover (NDM)/Connect:Direct submitter's system to meet the number of files being received from EDFES, the reports will not be stored on the submitter's system.

- The reports appear on the EDFES system to have been received by the submitter.

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- The reports appear to be missing, or not received on the submitter's system.

- To prevent this situation, NDM/Connect:Direct submitters should establish a limit of 255 GDGs on their systems.

- 999 and 277CA Acknowledgement Reports will not be restored if the files are older than 20 days.

- MAO Reports will not be restored if the files are older than 60 days.

- Requests for more than 200 files will not be accepted.

MAO Reports Layouts

MAO Reports Layouts

- The EDPS MAO Reports are currently delivered to submitters in two (2) forms:

  1. Flat file – A delimited text file that provides detailed header and line level descriptions of the encounter's processing status.

  2. Formatted file – A text file that allows MAOs and other entities to identify and review the encounter's status based on identified fields.

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HEADER RECORD		There is only one header per record per file.		
Position(s)	Item	Notes	Length	Format
1	Record Type	0=Header	1	Numeric, no commas and/or decimals
2	Delimiter		1	Uses the * character value
3-9	Report ID	Value is "MAO-001"	7	Alpha Numeric
10	Delimiter		1	Uses the * character value
11-18	Report Date	Date that the report was created by EDPSC.	8	Numeric, format CCYYMMDD
19	Delimiter		1	Uses the * character value
20-27	Transaction Date		8	Numeric, format CCYYMMDD
28	Delimiter		1	Uses the * character value
29-67	Report Description	Value is "Encounter Data Duplicates Report"	39	Alpha Numeric, Left justify, blank fill
68	Delimiter		1	Uses the * character value
69-73	Filler		5	Blank – (Removal of Contract ID)
74	Delimiter		1	Uses the * character value
75-104	Submission Interchange Number	Interchange Sender ID (ISA06) + Interchange Control Number (ISA13) + Interchange Date (ISA09)	30	Alpha Numeric
105	Delimiter		1	Uses the * character value
106-108	Record Type	Value is "INS", "PRO","DME"	3	Alpha Numeric
109	Delimiter		1	Uses the * character value

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MAO-001 Report
Formatted File Layout

Encounter Data Duplicates Report
Report Run Date 07/09/2012 12:51 PM
Medicare Advantage Contract ID: H9999
PROD

Page   1

Report ID: MAO-001

Submission Interchange Number: ENH2906000000013201 20705
Report Date: 07/09/2012
Transaction Date: 07/08/2012

Record Type	Plan Encounter ID (CCN)	Encounter ICN	Encounter Line Number	Duplicate Plan Encounter ID (CCN)	Duplicate Encounter ICN	Duplicate Encounter Line Number	Beneficiary HICN	Date of Service
PRO	231181789	2509061539016	001	222186298	2509061539028	001	567185299	06/15/2012
PRO	231181790	2509061539013	002	222186398	2509061539047	002	567186299	06/15/2012

TOTALS :

Total Number of Duplicate Encounter Lines Rejected: 2
Total Number of Encounter Lines Submitted: 2   Total Number of Encounter Records Submitted: 2

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Thursday, June 27, 2013
3:00 P.M. – 4:00 P.M. ET

HEADER RECORD		There is only one header record per file.		
Position(s)	Item	Notes	Length	Format
1	Record Type	0=Header	1	Numeric, no commas and/or decimals.
2	Delimiter		1	Uses the * character value
3-9	Report ID	Value is "MAO-002"	7	Alpha Numeric
10	Delimiter		1	Uses the * character value
11-18	Report Date	Date that the report was created by EDPSC.	8	Numeric, format CCYYMMDD
19	Delimiter		1	Uses the * character value
20-27	Transaction Date		8	Numeric, format CCYYMMDD
28	Delimiter		1	Uses the * character value
29-67	Report Description	Value is "Encounter Data Processing Status Report"	39	Alpha Numeric
68	Delimiter		1	Uses the * character value
69-73	Filler		5	Blank – (Removal of Contract ID)
74	Delimiter		1	Uses the * character value
75-104	Submission Interchange Number	Interchange Sender ID (ISA06) + Interchange Control Number (ISA13) + Interchange Date (ISA09)	30	Alpha Numeric
105	Delimiter		1	Uses the * character value
106-108	Record Type	Value is "INS", "PRO", "DME"	3	Alpha Numeric
109	Delimiter		1	Uses the * character value
110-113	Submission File Type	Value is "TEST" or "PROD"	4	Alpha Numeric
114	Delimiter		1	Uses the * character value
115-160	Filler		46	Spaces

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Encounter Data Processing Status Report
Report Run Date 03/07/2013 12:58PM
Medicare Advantage Contract ID: H9999

Page   1   Submission Interchange Number: ENC000000000000120130305
                                                                              Report Date: 03/04/2013
Report ID: MAO-002   Transaction Date: 03/05/2013

Record Type	Plan Encounter ID (CCN)	Encounter ICN	Encounter Line Number	Encounter Status	Error	Error Description
837P	XXXXXXXXX00001	E0101010101010101	000	Rejected	- -	
			001	Rejected	98325	Service Line(s) Duplicated
			002	Rejected	98325	Service Line(s) Duplicated
837P	XXXXXXXXX00002	E0202020202020202	000	Accepted	- -	
			001	Accepted	- -	
			002	Accepted	- -	

TOTALS:

Total Processing Errors: 2
Total Number of Encounter Lines Accepted: 2   Total Number of Encounter Records Accepted: 1
Total Number of Encounter Lines Rejected: 2   Total Number of Encounter Records Rejected: 1
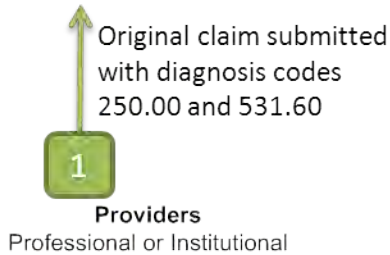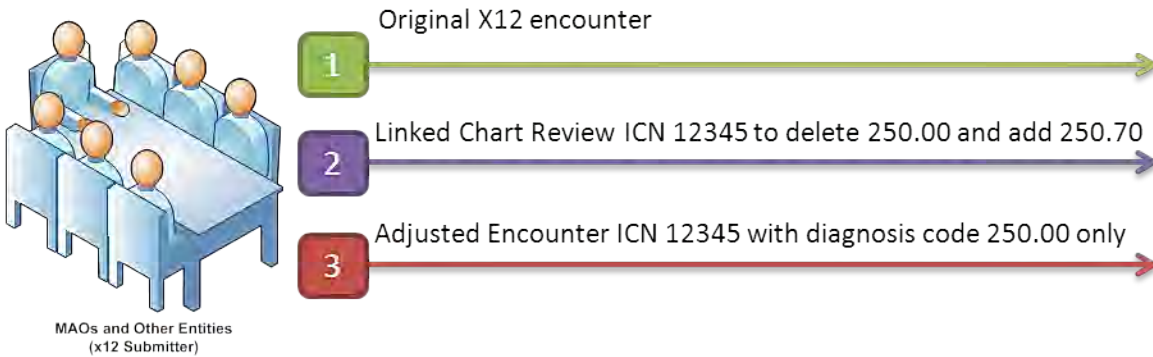Total Number of Encounter Lines Submitted: 4   Total Number of Encounter Records Submitted: 2
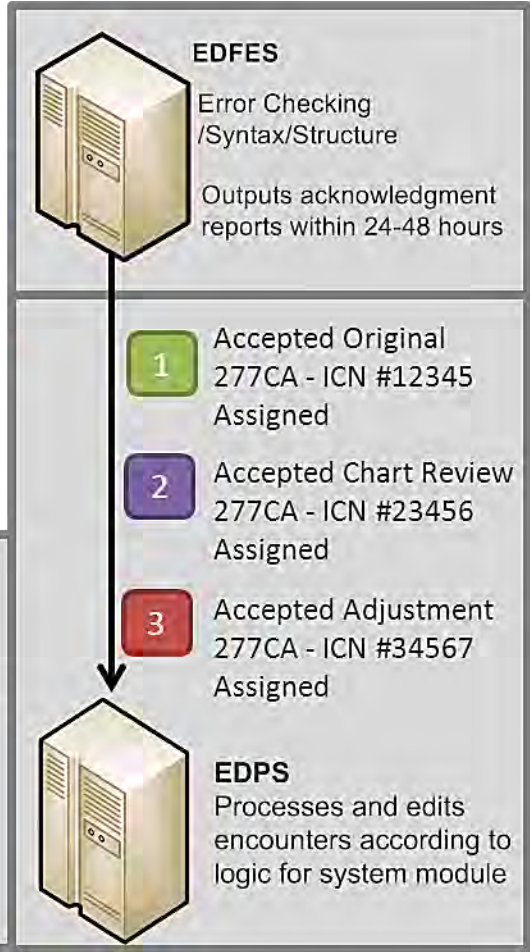
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MAO Report Layouts
Request for Industry Feedback

- During the May 16, 2013 User Group session, CMS requested that MAOs and other entities provide feedback to eds@ardx.net regarding the utilization of MAO flat file and formatted reports in order to determine the necessity for distribution of both reports.

- Due to the marginal response volume, CMS will provide an opportunity, during this User Group session, for MAOs and other entities to provide feedback regarding utilization of the MAO report layouts.

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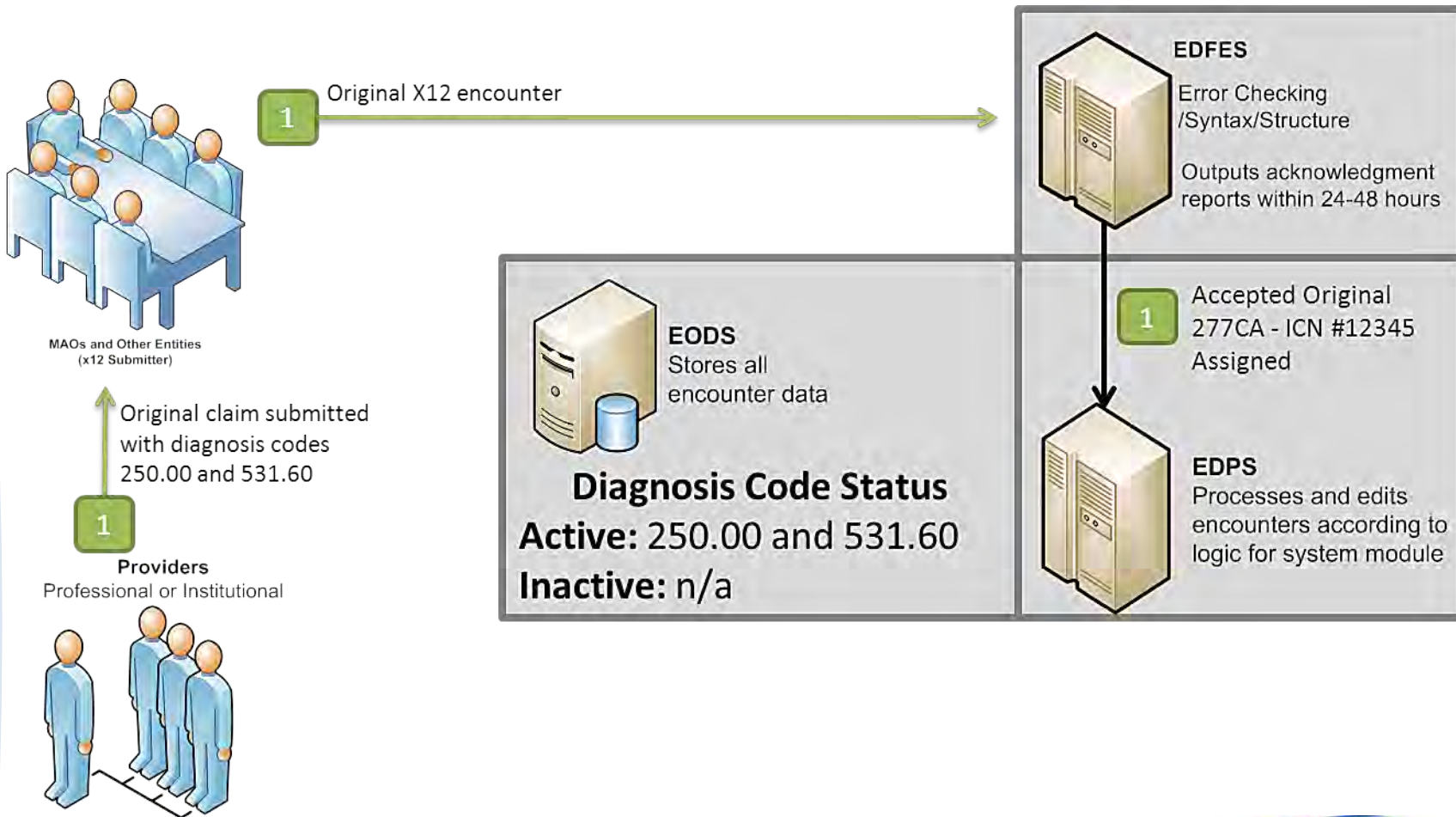# Encounter Adjustment After Linked Chart Review

Encounter Adjustment After Linked Chart Review Scenario

Original X12 encounter

1

Linked Chart Review ICN 12345 to delete 250.00 and add 250.70

2

Adjusted Encounter ICN 12345 with diagnosis code 250.00 only

3

MAOs and Other Entities
(x12 Submitter)

Original claim submitted
with diagnosis codes
250.00 and 531.60

1

Providers
Professional or Institutional

EDFES
Error Checking
/Syntax/Structure

Outputs acknowledgment
reports within 24-48 hours

1 Accepted Original
277CA - ICN #12345
Assigned

2 Accepted Chart Review
277CA - ICN #23456
Assigned

3 Accepted Adjustment
277CA - ICN #34567
Assigned

EDPS
Processes and edits
encounters according to
logic for system module

Which diagnosis codes are stored in the EODS and associated with the encounter?

EODS
Stores all
encounter data

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Original X12 encounter

MAOs and Other Entities
(x12 Submitter)

Original claim submitted
with diagnosis codes
250.00 and 531.60

Providers
Professional or Institutional

EDFES
Error Checking
/Syntax/Structure

Outputs acknowledgment
reports within 24-48 hours

EODS
Stores all
encounter data

Accepted Original
277CA - ICN #12345
Assigned

Diagnosis Code Status
Active: 250.00 and 531.60
Inactive: n/a

EDPS
Processes and edits
encounters according to
logic for system module

1 Original X12 encounter

**2** Linked Chart Review ICN 12345 to delete 250.00 and add 250.70

MAOs and Other Entities
(x12 Submitter)

Original claim submitted with diagnosis codes 250.00 and 531.60

1
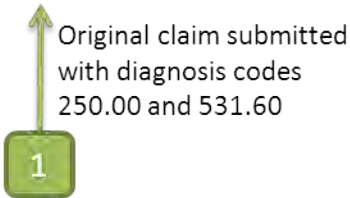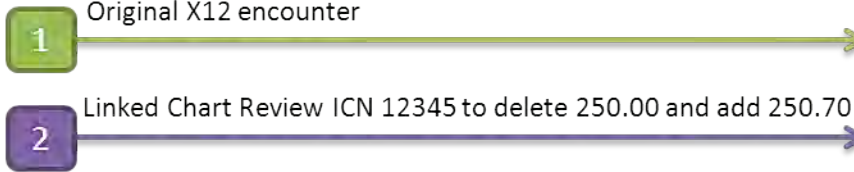
Providers
Professional or Institutional

EODS
Stores all encounter data

Diagnosis Code Status
Active: 250.70 and 531.60
Inactive: 250.00

EDFES
Error Checking /Syntax/Structure

Outputs acknowledgment reports within 24-48 hours

1 Accepted Original 277CA - ICN #12345 Assigned

2 Accepted Chart Review 277CA - ICN #23456 Assigned

EDPS
Processes and edits encounters according to logic for system module

Encounter Adjustment After Linked Chart Review Scenario (cont'd)

Original X12 encounter

1

Linked Chart Review ICN 12345 to delete 250.00 and add 250.70

2

Adjusted Encounter ICN 12345, with diagnosis code 250.00 only

3

MAOs and Other Entities
(x12 Submitter)

Original claim submitted
with diagnosis codes
250.00 and 531.60

1

Providers
Professional or Institutional
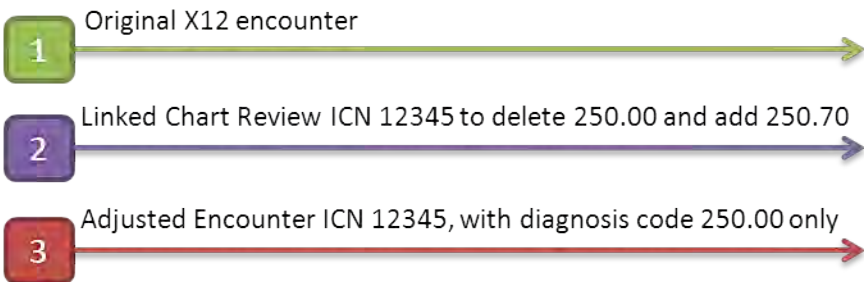
EODS
Stores all
encounter data

Diagnosis Code Status
Active: 250.00 and 250.70
Inactive: 531.60

EDFES
Error Checking
/Syntax/Structure

Outputs acknowledgment
reports within 24-48 hours

1 Accepted Original
277CA - ICN #12345
Assigned

2 Accepted Chart Review
277CA - ICN #23456
Assigned

3 Accepted Adjustment
277CA - ICN #34567
Assigned

EDPS
Processes and edits
encounters according to
logic for system module

- The adjustment of an original encounter will not alter the data in a linked chart review.
- Therefore, MAOs and other entities should also submit an adjustment to the Chart Review.

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Chart Review Deletion

Chart Review Deletion

- If the MAO or other entity submits a Chart Review for one diagnosis and a subsequent Chart Review indicates that the diagnosis should not have been submitted, and there is no diagnosis code to replace it:
  - The MAO or other entity should submit a Void/Delete Chart Review encounter with CLM05-3=8.

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Chart Review Example

Loop 2300 – Claim Information

CLM*2997677856479709654A*0.00***11:B:**1***Y*A*Y*Y~
PWK*09*AA~
HI*BK:4475~
SBR*P*18*XYZ1234567******16~

Original Chart Review
CLM05-3 = '1'
= Original
ICN Generated:
1298768987657

Loop 2300 – Claim Information

CLM*2997677856479709654B*0.00***11:B:**8***Y*A*Y*Y~
PWK*09*AA~
REF*F8***1298768987657**~

Void/Delete Chart Review
CLM05-3 = '8'
= Void/Delete
ICN Generated:
1298768987660

REF02 should reference the ICN of the previous Chart Review

Resources Access

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EDS Companion Guides

- The May Release of the EDS Companion Guides is posted on the CSSC Operations website at: http://www.csscoperations.com/internet/cssc3.nsf/docsCat/CSSC~CSSC%20Operations~Encounter%20Data~Companion%20Guides?open&expand=1&navmenu=Encounter^Data||

- May Release Updates:
  - New ZIP Code +4 Default ('9998')
  - Updated Professional Chart Review Business Scenarios
  - EDIPPS Edits Prevention and Resolution Strategies – Phase III
  - Tier II Testing

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Encounter Data Quarterly Newsletter

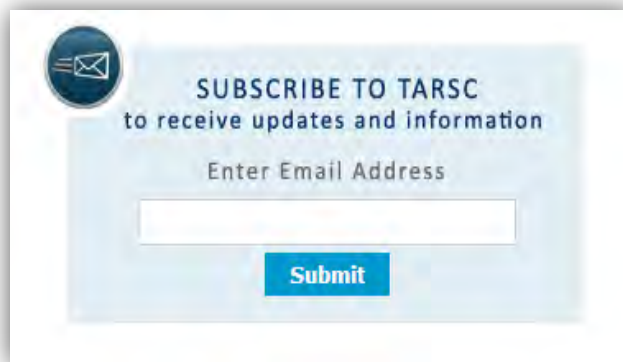- The quarterly release of the Encounter Data Newsletter is posted on the CSSC Operations website at:
http://www.csscoperations.com/internet/cssc3.nsf/docsCat/CSSC~CSSC%20Operations~Encounter%20Data~Newsletters?open&expand=1&navmenu=Encounter^Data||

Encounter Data 101 Update

Encounter Data 101

- The 'Encounter Data 101' webinar will be conducted during the summer of 2013.

- CMS will publish registration and logistics information on the CMS Technical Assistance Resource Service Center (TARSC) website at http://www.tarsc.info/.

- To ensure receipt of timely updates and additional information for Encounter Data, please subscribe to TARSC.

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to receive updates and information

Enter Email Address

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EDS Implementation Next Steps

EDS Implementation Next Steps

ED Materials / Information	Target Date
EDS Companion Guide	June 27, 2013
EDS User Group	July 18, 2013
Encounter Data 101 Webinar	Summer 2013
National Technical Assistance	Summer 2013

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Questions
&
Answers

Resources

Resource	Resource Link
CEM/CEDI Technical Reporting Formats	http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
CSSC Operations	http://www.csscoperations.com csscoperations@palmettogba.com
EDS Inbox	eds@ardx.net
Technical Assistance Registration Service Center (TARSC)	http://www.tarsc.info/
X12 Version 5010 Standards	https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/
Washington Publishing Company	http://www.wpc-edi.com/content/view/817/1

Closing Remarks